



LAW ENFORCEMENT INFORMATION NETWORK NICS Denied Transaction File

This bulletin is being distributed to authorized Law Enforcement Information Network (LEIN) user agencies to provide clarification on recent enhancements to the National Instant Criminal Background Check System (NICS) Denied Transaction File (NDTF).

The NDTF was designed to share information regarding individuals who have been determined to be a prohibited person according to the Brady Handgun Violence Prevention Act of 1993. The NDTF maintains information about denied NICS transactions that are accessible to law enforcement and other criminal justice agencies. Since 2012, the most recent six months of denial data has been available to these agencies through the National Crime Information Center (NCIC) by performing a Query NICS Denied (QND) transaction.

Effective August 8, 2017, the NDTF was expanded to include the following two parts:

- All historical NICS denied transaction data in the NDTF.
- Expansion of the NCIC Wanted Person responses to include the most recent six months of denial records in the NDTF.

With the above changes, agencies submitting a person query (Q) are now provided NICS background check denial transaction data from NCIC, if available. Knowledge of this data will alert an agency of the subject's tendency to possess, attempt to possess, or use of firearms. This knowledge may also suggest possible actions or precautions to be taken by law enforcement and criminal justice agencies during an encounter with the subject. The search results may also include multiple hits on a subject for up to six months.

A sample NDTF hit appears as follows:

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MKE/NICS DENIED TRANSACTION FILE
ORI/WVNICS001 NAM/SMITH,JOHN J SEX/M RAC/W POB/RI
DOB/19511012 HGT/511 WGT/230 CTZ/US
SOC/123456789
SOP/NV SOR/NV DND/20120909
NTN/ABC1234567
NIC/E123456789 DTE/20170801 0438 EDT DLU/20170801 0438 EDT
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Prior to queries including a search of the NDTF, notifications to the originating agency or the querying agency were not produced on hits of the NDTF. As part of NCIC's process, various notifications are required to be sent to the Originating Agency Identifier (ORI) of an agency entering an NDTF record and the querying agency. Agencies may begin to receive these unsolicited notifications due to a denial record being part of the NDTF or receiving a positive hit on a NDTF record during a LEIN query.

The following is a description of these messages:

Delayed Inquiry Hit Notification: A \$.H. administrative message is sent to the ORI entering a record which resulted in a hit response for a query made within the last five days. If a record is entered that hits on the descriptors of that query within the five-day window, a notification will then be delivered to the ORI that entered the NDTF record.

Delayed Inquiry Hit Response Notification: A \$.H. administrative message is sent to the ORI of an inquiring agency when a hit response is generated because of subsequent entry to the NDTF. The agency submitting the query may potentially receive hit responses for five days after the initial inquiry was made.

Note: The existence of a record within the NDTF does not require hit confirmation by the inquiring agency. However, the agency may contact the originating agency for additional information if desired. Agencies that perform the NICS background checks and provide final denial statuses to the NICS may experience an increase in calls due to law enforcement encounters with subjects that have been denied.

Questions regarding this functionality should be directed to Mr. Brian Kelley of LEIN Field Services at 517-284-3047 or KelleyB1@michigan.gov, or mspleinfss@michigan.gov.